JEFFERSON COUNTY, ALABAMA
PROPOSED
2012 CDBG-DISASTER RECOVERY ACTION PLAN Amendment #3
(B-12-UT-01-0001)

JEFFERSON COUNTY COMMISSION
James A. “Jimmie” Stephens, President
Sandra Little-Brown, President Pro Tempore
George F. Bowman
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Tony Petelos, County Manager

JEFFERSON COUNTY OFFICE OF COMMUNITY & ECONOMIC DEVELOPMENT
Frederick L. Hamilton, Director
Jefferson County, Alabama  
Community Development Block Grant Disaster Recovery Program  
B-12-UT-01-0001  

Summary of Changes in Amendment #3 to the CDBG-DR Action Plan  

The purpose of Amendment #3 to the B-12-UT-01-0001 Community Development Block Grant Disaster Recovery Action Plan is to delete certain projects and activities; reduce and increase funding in certain projects and activities; and to add activities under specific projects. A summary of those changes are listed below. A description of all activities listed under each project (02: Housing, 03 Infrastructure, 04 Public Facilities, 05 Clearance & Demolition) may be found beginning on page 35.  

**Increase Funding in the Following Activities:**  

**01 Housing Rehabilitation**  
424 Panama Street from $35,000.00 to $70,000.00  
516 Utica Street from $35,000.00 to $70,000.00  

**Add the Following Activities to the Following Project(s):**  

**04 Public Facilities:**  
McDonald Chapel Storm Shelter $1,000,000.00  
Warrior Storm Shelter #2 $1,000,000.00  
North Smithfield Storm Shelter #2 $1,000,000.00  
Fultondale Storm Shelter $1,000,000.00  
Pleasant Grove Storm Shelter $1,000,000.00  

**06 Debris Removal**  
Pleasant Grove Scattered Site Debris Removal $95,000.00  

**Reduce Funding in the Following:**  

Un-programmed from $4,213,729.80 to $1,388,729.80
## Jefferson County, Alabama
### Community Development Block Grant Disaster Recovery Program
### B-12-UT-01-0001
### Amendment #3 Budget

### 01 Housing

<table>
<thead>
<tr>
<th>Project: Housing Rehabilitation Activities:</th>
</tr>
</thead>
<tbody>
<tr>
<td>424 Panama Street</td>
</tr>
<tr>
<td>516 Utica Street</td>
</tr>
<tr>
<td>Unprogrammed</td>
</tr>
<tr>
<td><strong>Total Housing Rehabilitation</strong></td>
</tr>
</tbody>
</table>

### 02 Clearance/Demolition

<table>
<thead>
<tr>
<th>Clearance/Demolition Activities:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1001 8th Street</td>
</tr>
<tr>
<td>1025 9th Street</td>
</tr>
<tr>
<td>1215 11th Street</td>
</tr>
<tr>
<td>1307 13th Court</td>
</tr>
<tr>
<td>1429 14th Street</td>
</tr>
<tr>
<td>424 5th Court</td>
</tr>
<tr>
<td>620 9th Avenue</td>
</tr>
<tr>
<td>725 4th Place</td>
</tr>
<tr>
<td>804 5th Street</td>
</tr>
<tr>
<td>836 11th Avenue</td>
</tr>
<tr>
<td>904 12th Avenue</td>
</tr>
<tr>
<td>916 11th Terrace</td>
</tr>
<tr>
<td>917 12th Avenue</td>
</tr>
<tr>
<td>928 5th Way</td>
</tr>
<tr>
<td><strong>Total Clearance/Demolition</strong></td>
</tr>
</tbody>
</table>

### 03 Direct Homeownership

<table>
<thead>
<tr>
<th>Direct Homeownership Activity:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1055 – 10th Street, Pleasant Grove</td>
</tr>
<tr>
<td><strong>Total Direct Homeownership</strong></td>
</tr>
</tbody>
</table>

### 04 Public Facilities

<table>
<thead>
<tr>
<th>Public Facility Activities:</th>
</tr>
</thead>
<tbody>
<tr>
<td>North Smithfield Storm Shelter #2</td>
</tr>
<tr>
<td>Warrior Storm Shelter #2</td>
</tr>
<tr>
<td>McDonald Storm Shelter</td>
</tr>
<tr>
<td>Fultondale Storm Shelter</td>
</tr>
<tr>
<td>Pleasant Grove Storm Shelter</td>
</tr>
<tr>
<td><strong>Total Public Facilities</strong></td>
</tr>
<tr>
<td>05 Infrastructure</td>
</tr>
<tr>
<td>-------------------</td>
</tr>
<tr>
<td><strong>05 Infrastructure Activities:</strong></td>
</tr>
<tr>
<td>Concord Gay Road</td>
</tr>
<tr>
<td>Concord Highland</td>
</tr>
<tr>
<td>Concord Smith Road</td>
</tr>
<tr>
<td>Total Infrastructure</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>06 Debris Removal</th>
<th>$130,294.13</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>06 Debris Removal Activities</strong></td>
<td></td>
</tr>
<tr>
<td>464-7th Avenue</td>
<td>$11,764.71</td>
</tr>
<tr>
<td>713-7th Avenue</td>
<td>$11,764.71</td>
</tr>
<tr>
<td>740-7th Place</td>
<td>$11,764.71</td>
</tr>
<tr>
<td>Pleasant Grove Scattered Debris Removal</td>
<td>$95,000.00</td>
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<tr>
<td>Total Debris Removal</td>
<td>$130,294.13</td>
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<table>
<thead>
<tr>
<th>07 Administration</th>
<th>$392,354.20</th>
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<tbody>
<tr>
<td>Un-programmed</td>
<td>$1,388,729.80</td>
</tr>
<tr>
<td>Total Grant Allocation</td>
<td>$7,847,084.00</td>
</tr>
</tbody>
</table>

Community Development Block Grant (CDBG) Disaster Recovery
Action Plan

Checklist
SF-424/CFDA #14:218;14.228

I. Impact and Unmet Needs Assessment
II. Recovery Planning
   (a) Sound, sustainable, long-term recovery planning informed by a post-disaster evaluation of hazard risk, especially land-use decisions that reflect responsible flood-plain management
   (b) Coordination with other local and regional planning efforts

III. CDBG-DR Leverage

IV. Construction Methods

V. Housing Initiatives
   (a) Transitional housing needs of homeless individuals and families
   (b) Prevention of low-income individuals and families with children (especially those with incomes below 30 percent of the area median) from becoming homeless
   (c) The special needs of persons who are not homeless but require supportive housing (e.g., elderly, persons with disabilities, persons with alcohol or other drug addiction, persons with HIV/AIDS and their families, and public housing residents, as identified in 24 CFR 91.315(e) or 91.215(e) as applicable)

VI. Displacement

VII. Program Income

VIII. Monitoring

IX. Fraud Prevention

X. Grant Capacity

XI. Unmet Needs/CDBG-DR Grant Allocation

XII. Performance Schedule
   Housing
   Infrastructure
   Economic Development
   Planning and Administration
   Other (if applicable)

XIII. Citizens’ Participation

IX. Certifications
INTRODUCTION

The United States Department of Housing and Urban Development requires that in order to receive funding under the Community Development Block Grant Program (CDBG), the Home Investment Partnerships Program (HOME), the Emergency Shelter Grant Program (ESG), the Emergency Solutions Grant Program (ESG), and the Housing Opportunities for Persons with AIDS Program (HOPWA). Each entitlement city or urban county must prepare a Consolidated Plan. This Plan was prepared in the year 2010. The Plan identifies housing and non-housing needs over a five year period, assigns priorities to each of the needs and a strategy for meeting those needs, and sets out a one year Action Plan establishing funding. The overall goal of the community development programs is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities, principally for low- and moderate-income persons. This Community Development Block Grant Disaster Recovery (CDBG-DR) Action Plan following approval by HUD will become a part of that plan.

The Jefferson County Consortium is made up of the unincorporated areas of Jefferson County, Alabama and 30 municipalities within the County. The Cities of Birmingham, Bessemer, County Line, West Jefferson, Argo, Sumiton, Helena and Hoover are the only cities in the County not participating in the Consortium. Birmingham, Bessemer and Hoover are entitlement cities themselves. However, due to the nature of the CDBG-DR program, assistance will only be made available to designated areas within the Jefferson County Consortium as described in Section I. Impact and Unmet Needs Assessment. The Final Amended Statement for the CDBG-DR Grant will be developed after receiving citizen comments and views during the 7-day comment period and also at a public hearing to be held on April 27, 2015 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-420, Birmingham, Alabama, 35203. Written comments regarding the proposed plan must be received prior to 5:00 PM on May 1, 2015. Written comments should be submitted to the following:

Proposed CDBG-Disaster Recovery
Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430
Birmingham, AL 35203
Attn: Yolanda Caver

or

Written comments can also be submitted prior to 5:00 PM on May 1, 2015 by electronic submission to the following:

To: burrelly@jccal.org
Subject: CDBG-DR Comments

Special accommodations can be made available, upon request, for those with disabilities. Those needing special accommodations should contact the office at 205-325-5761 in advance.

AVAILABILITY OF THE PROPOSED CDBG-DR ACTION PLAN

Copies of this plan are available for review at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North – Suite A430, Birmingham, AL
35203, by calling 325-5761, and online at http://jeffconline.jccal.org/comdev/home/Home.html in English. Arrangements can also be made available upon request to meet the Special Needs Requirements of those with disabilities and those who would like to request the proposed plan in other languages.
I. IMPACT AND UNMET NEEDS ASSESSMENT

Jefferson County
Action Plan for Disaster Recovery
(April 27, 2011 Tornado)

Preface

The April 27, 2011 tornado devastated Jefferson County, causing over $600 million in property damage. According to Jefferson County’s Coroner’s office 21 persons were killed in the storm.

According to the Jefferson County Emergency Management Agency, there were multiple tornados ranging in capacity from EF-4 to EF-5 that impacted Jefferson County.

The recovery effort began immediately with various local, state, and federal agencies responding. The responding agencies included the local Jefferson County Emergency Management Agency; local law enforcement agencies, the Alabama National Guard; FEMA, as well other community based and volunteer organizations.

This section of the Action Plan will address eligible areas in Jefferson County’s HUD Consortium impacted by the April 27, 2011 tornado; define unmet needs; provide a historical perspective of tornados impacting Jefferson County; present contributing factors to frequent tornado activity in the county; provide an initial needs assessment by local and federal agencies; provide an overview of recent public meetings held in the impacted communities to determine unmet needs; present Jefferson County’s CDBG-DR program guidelines; and present a proposed disbursement of the county’s CDBG-DR funds.

Eligible Areas Impacted by the April 2011 Tornado

The areas eligible for Jefferson County’s Community Development Block Grant Disaster Recovery (CDBG-DR) funds include Concord, Pleasant Grove, Alabama, North Smithfield, McDonald Chapel, Warrior, Alabama, Fultondale, Alabama and Vestavia Hills, Alabama.

Unmet Needs Defined

An unmet need is defined as the difference between damages sustained in the impacted areas during the storm event mentioned above and post disaster resources the impacted areas are eligible to receive in the recovery effort.

Participants receiving assistance from insurance payments, FEMA, SBA, etcetera will be documented and not duplicated.
Historical Perspective of Tornados in Jefferson County

Since the 1950’s, Jefferson County has experienced five (5) major tornados. The capacity of these tornados has ranged from EF-4 to EF-5. The county was struck by major tornados in 1956, 1977, 1998, 2011 and 2012. Below is an overview of the first three (3) major storm events that hit Jefferson County, which will be followed by the two (2) most recent tornado events.

The first tornado, which occurred on April 15, 1956, originated near Pleasant Grove, Alabama with an approximate 21 mile path and struck the communities of Edgewater, Tarrant, Alabama, McDonald Chapel and Pratt City, which is a community in Birmingham, Alabama.

The second major tornado struck on April 4, 1977. This F-5 tornado moved through Pratt City and North Smithfield and killed 22 persons.

The third major tornado struck the Oak Grove community on April 8, 1998 and killed 32 people. The storm traveled more than 30 miles impacting Concord, Pleasant Grove, Alabama, Edgewater and McDonald Chapel. More than 1,000 homes were destroyed and 900 homes suffered major damage.

On April 27, 2011, multiple tornados touched down in Jefferson County. It was reported that 21 persons were killed from tornados ranging in capacity from EF-4 to EF-5. There were countless others who were seriously injured from the major storm event. Moreover, the property damage from the storm was estimated to be over $600 million.

On January 23, 2012 another major tornado storm struck Jefferson County. This EF-3 tornado winds peaked at 140 miles per hour. The tornado did significant damage to Oak Grove, Pinson, Center Point, Alabama and Clay, Alabama. The storm killed two (2) people, a 16 year old girl who resided in Clay, Alabama and an 81 year man who lived in the Oak Grove community.

Contributing Factors
Researchers are describing the northern two (2) thirds of Alabama as a battleground for severe weather where contrasting air masse battle along a front that usually runs southwest and northeast, which is the track tornados normally take and has been the way tornados have moved through Jefferson County.

Historically, cold fronts come from the northeast and warm unstable air comes from the Gulf of Mexico. These fronts normally meet in what’s known as Dixie Alley. The storms have typically run north of Interstate 59 and this corridor includes western Jefferson County.
Initial Needs Assessment by Federal and Local Agencies

FEMA, Jefferson County Land Planning and Development Services Department, and local inspections departments conducted an assessment of damages from the April 27, 2011 tornado in early May 2011.

The assessment of damages included housing, public facilities, infrastructure, debris removal, demolition and economic development.

A summary of the analysis is presented below:

**Housing Assessment**

**Table I**

<table>
<thead>
<tr>
<th>Concord</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing Units Destroyed:</td>
<td>51</td>
</tr>
<tr>
<td>Housing Units with Major Damage</td>
<td>40</td>
</tr>
<tr>
<td>Housing Units with Minor Damage</td>
<td>89</td>
</tr>
<tr>
<td><strong>Total Housing Units Impacted</strong></td>
<td><strong>180</strong></td>
</tr>
</tbody>
</table>
**Source of Data:** Jefferson County Land Planning and Development Services Department

### Table II

<table>
<thead>
<tr>
<th>McDonald Chapel</th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing Units Destroyed:</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Housing Units with Major Damage</td>
</tr>
<tr>
<td>22</td>
</tr>
<tr>
<td>Housing Units with Minor Damage</td>
</tr>
<tr>
<td>19</td>
</tr>
<tr>
<td><strong>Total Housing Units Impacted</strong></td>
</tr>
<tr>
<td>68</td>
</tr>
</tbody>
</table>

### Table III

<table>
<thead>
<tr>
<th>North Smithfield</th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing Units Destroyed:</td>
</tr>
<tr>
<td>8</td>
</tr>
<tr>
<td>Housing Units with Major Damage</td>
</tr>
<tr>
<td>9</td>
</tr>
<tr>
<td>Housing Units with Minor Damage</td>
</tr>
<tr>
<td>9</td>
</tr>
<tr>
<td><strong>Total Housing Units Impacted</strong></td>
</tr>
<tr>
<td>26</td>
</tr>
</tbody>
</table>

### Table IV

<table>
<thead>
<tr>
<th>Pleasant Grove, Alabama</th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing Units Destroyed:</td>
</tr>
<tr>
<td>315</td>
</tr>
<tr>
<td>Housing Units with Major Damage</td>
</tr>
<tr>
<td>231</td>
</tr>
<tr>
<td>Housing Units with Minor Damage</td>
</tr>
<tr>
<td>364</td>
</tr>
<tr>
<td><strong>Total Housing Units Impacted</strong></td>
</tr>
<tr>
<td>910</td>
</tr>
</tbody>
</table>
Table V

<table>
<thead>
<tr>
<th>Fultondale, Alabama</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing Units Destroyed:</td>
<td>20</td>
</tr>
<tr>
<td>Housing Units with Major Damage</td>
<td>35</td>
</tr>
<tr>
<td>Housing Units with Minor Damage</td>
<td>248</td>
</tr>
<tr>
<td>Total Housing Units Impacted</td>
<td>303</td>
</tr>
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</table>

Source of Data: Jefferson County Land Planning and Development Services Department
### Table VI

<table>
<thead>
<tr>
<th>Warrior, Alabama</th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing Units Destroyed:</td>
</tr>
<tr>
<td>Housing Units with Major Damage</td>
</tr>
<tr>
<td>Housing Units with Minor Damage</td>
</tr>
<tr>
<td><strong>Total Housing Units Impacted</strong></td>
</tr>
</tbody>
</table>

*Source of Data: Warrior, Alabama City Clerk*

### Table VII

<table>
<thead>
<tr>
<th>Vestavia Hills, Alabama (Cahaba Heights Community)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing Units Destroyed:</td>
</tr>
<tr>
<td>Housing Units with Major Damage</td>
</tr>
<tr>
<td>Housing Units with Minor Damage</td>
</tr>
<tr>
<td><strong>Total Housing Units Impacted</strong></td>
</tr>
</tbody>
</table>

*Source of Data: Jefferson County Land Planning and Development Services Department*
The results of the analysis revealed there were a total of 455 homes destroyed, 849, homes with major damage, and 810 homes with minor damage.

**Infrastructure Storm Assessment**

Jefferson County’s Planning and Development Services Department in conjunction with FEMA, conducted a community assessment of unmet needs in order to develop a comprehensive plan for the unincorporated areas impacted by the April 2011 tornado.

The results of the planning effort revealed an unmet need for storm drainage improvements in the Concord Community, as well as Pleasant Grove, Alabama. In both communities, the April 2011 tornado impacted the flow of storm water and in some cases destroyed existing drainage facilities.

Citizens of these areas complained about how the uncontrolled flow of storm water negatively impacts their property.

**Public Facilities Storm Assessment**

The Jefferson County Planning and Development Services Department along with FEMA also identified public facilities as a critical unmet need in the unincorporated areas of Jefferson County. The communities of Concord, McDonald Chapel and North Smithfield cited during the public meetings the need for dual purpose storm shelters to provide a safe haven during major storm events.

The citizens emphasized the communities had been impacted by multiple tornados and there had been deaths and serious injuries due to major storms.

Each community also expressed the facilities could serve a dual purpose by allowing the community to use the facilities when there are no major storm event occurring.

Officials from Fultondale, Alabama reported significant damage to its fire station and library.

**Demolition Assessment**

The Jefferson County Planning and Development Services Department and FEMA also revealed during their assessment of needs a significant need for demolition in all of the unincorporated areas of the county impacted by the April 2011 tornado.

Pleasant Grove, Alabama, Vestavia Hills, Alabama and Warrior, Alabama also reported a significant need for demolition after their initial assessment of the storm damage.
Debris Removal Assessment
Jefferson County’s Planning and Development Services Department and FEMA also revealed a significant need for debris removal in all of the unincorporated areas of the county impacted by the April 2011 tornados.

Pleasant Grove, Alabama, Vestavia Hills, Alabama and Warrior, Alabama also reported a significant need for debris removal.

Economic Development Assessment
The Jefferson County Planning and Development Services Department and FEMA identified several businesses destroyed in the Concord community.

The City of Fultondale, Alabama also reported several businesses within its corporate limits impacted by the April 2011 tornados.

Unmet Need in Jefferson County Identified
In December 2011, HUD estimated the severe housing unmet needs in Jefferson County to be $16,271,142.00.

In December 2011, HUD estimated the severe business unmet needs to be $12,595,570.00 in Jefferson County.

The Alabama Rural Coalition for the Homeless (ARCH) states there are 13,735 persons with unmet needs. Of the 13,735 persons with unmet needs, ARCH has assisted 331 survivors; closed 158 cases; currently working on 173 open cases; received 78 refusals (all needs met); and have 300 of the clients listed with unmet needs in Jefferson County, which have been placed on ARCH’s roster as “no contact” (moved, no answer at listed telephone number, no answer at home during outreach).

Recent Assessment of Unmet Needs from the April 2011 Tornado Impacted Areas
Approximately a year after the April 2011 massive tornado event, the staff from the Community and Economic Development Department conducted a series of meetings in the impacted areas mentioned above in order to explain to the citizens’ eligible activities under the CDBG-DR HUD Disaster program. These meetings were conducted between May 10, 2012 and June 5, 2012.

In each of the public meetings, local officials and the public were informed of the meaning of unmet need, as well as given an overview of eligibility activities to include the following:

1. Housing Rehabilitation
2. Rebuilding Homes
3. Down Payment Assistance and /or Closing Cost
At the end of each meeting, the citizens as well as public officials were asked to complete a survey form identifying unmet needs. In most cases, the Department of Community and Economic Development received the names of persons needing assistance with housing, whether it was housing rehabilitation, assistance with a safe room, or assistance with rebuilding. The same information was received from those who anticipated needing down payment assistance or assistance with loan closing costs.

Citizens and local officials also provided documentation on the need for debris removal and demolition.

Community and Economic Development staff also received documentation from citizens and public officials pertaining to unmet needs relating to infrastructure and public facilities.

Economic Revitalization unmet needs were also identified.

The results of the unmet needs identified during the public meetings, as well as meetings held with local officials are presented below:

### McDonald Chapel

<table>
<thead>
<tr>
<th>Project Type</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Housing Rehabilitation</td>
<td>13</td>
</tr>
<tr>
<td>2. Home Rebuilding</td>
<td>3</td>
</tr>
<tr>
<td>3. Home Relocation Assistance</td>
<td>3</td>
</tr>
<tr>
<td>4. Down Payment/Closing Cost Assistance</td>
<td>3</td>
</tr>
<tr>
<td>5. Non-Housing Rehabilitation</td>
<td>1</td>
</tr>
<tr>
<td>6. Infrastructure (Light Replacement)</td>
<td>1</td>
</tr>
<tr>
<td>7. Dual Purpose Storm Shelter</td>
<td>1</td>
</tr>
</tbody>
</table>

Source: McDonald Chapel Public Meeting, May 10, 2012

### North Smithfield

<table>
<thead>
<tr>
<th>Project Type</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Housing Rehabilitation</td>
<td>40</td>
</tr>
<tr>
<td>2. Public Facility (Damaged Fire Station/Dual Purpose Storm Shelter)</td>
<td>2</td>
</tr>
<tr>
<td>3. Safe Room</td>
<td>7</td>
</tr>
<tr>
<td>4. Debris Removal</td>
<td>15 lots</td>
</tr>
<tr>
<td>5. Demolition</td>
<td>15 homes</td>
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</table>

Source: North Smithfield Public Meeting, May 21, 2012
<table>
<thead>
<tr>
<th>Warrior, Alabama</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Project Type</strong></td>
</tr>
<tr>
<td>1. Public Facilities (City Parks)</td>
</tr>
</tbody>
</table>


<table>
<thead>
<tr>
<th>Concord</th>
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</thead>
<tbody>
<tr>
<td><strong>Project Type</strong></td>
</tr>
<tr>
<td>1. Housing Rehabilitation</td>
</tr>
<tr>
<td>2. Safe Room</td>
</tr>
<tr>
<td>3. Home Rebuilding</td>
</tr>
<tr>
<td>4. Down Payment/Closing Cost Assistance</td>
</tr>
<tr>
<td>5. Debris Removal</td>
</tr>
<tr>
<td>6. Demolition</td>
</tr>
<tr>
<td>7. Infrastructure Storm Damage</td>
</tr>
<tr>
<td>8. Dual Purpose Storm Shelter</td>
</tr>
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</table>

Source: Concord Public Meeting, May 15, 2012

<table>
<thead>
<tr>
<th>Pleasant Grove, Alabama</th>
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</thead>
<tbody>
<tr>
<td><strong>Project Type</strong></td>
</tr>
<tr>
<td>1. Housing Rehabilitation</td>
</tr>
<tr>
<td>2. Safe Rooms</td>
</tr>
<tr>
<td>3. Home Rebuilding</td>
</tr>
<tr>
<td>4. Down Payment/Closing Cost Assistance</td>
</tr>
<tr>
<td>5. Debris Removal</td>
</tr>
<tr>
<td>6. Demolition</td>
</tr>
<tr>
<td>7. Infrastructure Storm Drainage</td>
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</table>

Source: Pleasant Grove, Alabama Public Meeting, May 22, 2012

<table>
<thead>
<tr>
<th>Vestavia Hills, Alabama</th>
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</thead>
<tbody>
<tr>
<td><strong>Project Type</strong></td>
</tr>
<tr>
<td>1. Housing Rehabilitation</td>
</tr>
<tr>
<td>2. Safe Rooms</td>
</tr>
<tr>
<td>3. Debris Removal</td>
</tr>
<tr>
<td>4. Dual Purpose Storm Shelter</td>
</tr>
</tbody>
</table>

Source: Vestavia Hills, Alabama Public Meeting, June 5, 2012

Note: After conducting a public meeting in Fultondale, Alabama and meeting with city officials, it was determined there were no unmet needs. However, Fultondale, Alabama will be considered for assistance identified in the Action Plan since it was impacted by the April, 2011 tornado.
XI. UNMET NEEDS/CDBG-DR GRANT ALLOCATION

(AMENDMENT #2) HUD Disaster Funding
By
Eligible Activity

($7,847,084)

<table>
<thead>
<tr>
<th>Project</th>
<th>Projected # of Activities</th>
<th>Budget Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Housing</td>
<td>15</td>
<td>$525,000.00</td>
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<tr>
<td>Debris Removal</td>
<td>6</td>
<td>$130,294.13</td>
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<tr>
<td>Demolition</td>
<td>14</td>
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<td>Infrastructure</td>
<td>3</td>
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<td>-</td>
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<tr>
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<td>$1,388,729.80</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>$7,847,084.00</td>
</tr>
</tbody>
</table>
Promotion of Sustainable Long Term Recovery Planning for Hazard Risk and Flood Plain Management

Staff of the Community and Economic Development Department has reviewed flood insights maps, which are FEMA Flood Insurance Rate Maps for the eligible areas previously mentioned. Based on this review of these maps, none of the areas appear to be in a flood plain area.

Moreover, staff reviewed the Enviromapper for the eligible areas identified previously and there appears to be no significant hazardous risk.

However, should an applicant apply to Jefferson County for assistance and their home is in a flood plain area or area deemed to be in close proximity to hazardous conditions, the county will consider based on funding capability, relocation assistance.

In cases, where infrastructure activities are proposed for assistance in a flood plain area, the county will follow the eight (8) step environmental process.

CDBG-DR Funds Guidelines for Assistance

Application for Assistance

Persons seeking assistance for unmet needs due to the April 27, 2011 tornado can apply to Jefferson County’s Community and Economic Development Department by completing an application for assistance. Applications will be developed by the Community and Economic Development staff for the various eligible activities under Jefferson County’s Community Development Block Grant Disaster Recovery (CDBG-DR) funds.

The eligible activities under Jefferson County’s CDBG-DR program are presented below:

1. Housing Rehabilitation
2. Safe rooms for homes receiving housing rehabilitation
3. Rebuilding
4. Debris Removal
5. Demolition
6. Public Facilities
7. Non-Housing Rehabilitation
8. Infrastructure
9. Economic Development
**Documentation of Resources Received by Applicant**

Applicants applying for CDBG-DR assistance will complete an application as well as a legally binding document disclosing all assistance received from insurance payments, FEMA assistance, SBA assistance and any other resource. The assistance identified will be verified and deducted, thus leaving an unmet needs balance.

**Guidelines for Receiving Eligible Assistance**

*Owner Occupied Housing Rehabilitation*

Applicants requesting assistance under the owner occupied housing rehabilitation program will be required to complete an application. The applicant will also be required to document ownership of the home.

The first priority for CDBG-DR assistance will be providing housing rehabilitation assistance to persons impacted by the April 2011 tornado that owned their home. The homeowner will document the home was impacted by the previously mentioned storm.

Of this pool of applications, priority will be given to persons based on need and their Median Household Income.

After homes impacted by the storm have been identified and prioritized, the Community and Economic Development Department staff will consider homes in the storm impacted area that were not directly impacted by the storm.

Priorities will be based on need and Median Household Income. The maximum amount of funds available for owner occupied housing rehabilitation assistance is $70,000.00

**Home Rebuilding Assistance**

Homeowners needing assistance with unmet needs to rebuild their homes will be eligible to apply for assistance. The homeowner will complete an application documenting the loss of the home during the April, 2011 storm as well as proof the home was insured.

The homeowner will also complete a legally binding form disclosing assistance received from other resources.

Homeowners will be eligible for a loan with an interest rate ranging from 3.68% fixed rate (30 years) to 3.09% fixed rate (15 years) based on the terms of the loan.

The home will serve as collateral.

The homeowner will be required to maintain insurance on the home during the life of the loan.
The homeowner will produce documentation of the ability to repay the loan, as well as provide the Community and Economic Development staff with permission to check credit.

After insurance, FEMA, SBA and other resources are deducted, Jefferson County will provide assistance not to exceed $47,733.00. However, the Director of Community and Economic Development has the authority to grant a waiver above the grant ceiling.

**Relocation Assistance**

Jefferson County will comply with the Uniform Relocation Act (URA) of 1970 for persons seeking housing rehabilitation assistance whose home are not habitable or persons seeking and qualifying for assistance to rebuild their home. This funding will be allocated based on the availability of funds.

No relocation assistance will be provided for persons who have already relocated outside the April 2011 tornado impacted area.

The maximum assistance available for relocation assistance is $10,000.00.

**Down Payment/Closing Cost Assistance**

Applicants receiving assistance in rebuilding their homes will also be eligible for down payment assistance or closing cost assistance. In no case will an applicant receive both forms of assistance.

The maximum amount of assistance available for down payment or closing cost assistance is $3,000.00.

**Debris Removal Assistance**

Homeowners who have debris on property left from the storm will be eligible for assistance after the unmet needs have been documented. No assistance will be provided for debris on open land such as pastures, etc. The Jefferson County Department of Inspection Services or the Jefferson County Health Department will have to declare the debris a nuisance in the unincorporated areas of the county in order for assistance to be considered.

For municipalities in Jefferson County impacted by the storm, the Community and Economic Development Department will make the city/town the sub-grantee and the local laws governing debris removal for the jurisdiction will be followed.

The maximum assistance available for debris removal is $95,000.00
Demolition Assistance

Demolition assistance will be provided for homes destroyed by the storm and left by the property owner. Properties in the unincorporated areas of the county impacted by the April 2011 tornado will be inspected by the Jefferson County Land Development Department and deemed a nuisance in order for assistance to be considered.

A lien will be placed on the property in an amount equal to the cost of the demolition activity.

The Jefferson County Inspection Services Department will follow its standard procedures in determining properties that meet demolition eligibility and abide with all state and county regulations, as well as guidelines for carrying out the demolition process.

In some cases, the Community and Economic Development Department may work with the Jefferson County Health Department to determine if the home is a nuisance. In these cases, Community and Economic Development will make the Jefferson County Health Department the sub-grantee and follow the Heath Department’s guidelines for demolition.

For municipalities in Jefferson County impacted by the storm, the Community and Economic Development Department will make the city the sub-grantee and the local laws governing debris removal will be followed.

The maximum assistance available for demolition assistance is $11,800.00

Public Facilities Assistance

Construction of public facilities will consist of building dual purpose community storm shelters in the areas impacted by the April 2011 tornado. As mentioned previously, Jefferson County is located in “tornado alley” and has experienced five (5) tornados since 1956. These tornados have ranged in capacity from EF-3 to EF-5.

Applications will be received from communities requesting this form of assistance and are required to document the need for the facility.


The dual purpose community storm shelters will be built to FEMA standards in order to withstand high winds and provide a safe haven for the citizens in the impacted areas.

Public facilities will require a licensed architect, which will be procured by Request for Proposals (RFP) to design the facilities in accordance with FEMA standards, as well assist in bidding the project and construction management.
The average estimate of funds available for public facilities is $1,000,000.00.

**Infrastructure Assistance**

Infrastructure under the CDBG-DR program is defined as storm drainage improvements. The April 2011 tornado damaged storm drainage facilities and ditches, which is negatively impacting the flow of storm water.

Impacted communities will complete an application for assistance.

Storm drainage projects will consist of a professional engineering firm being procured by RFP process for design and construction management.

The average amount of assistance for infrastructure is $55,333.00.

**Proposed Disbursement of CDBG-DR Funds after Amendment #2**

<table>
<thead>
<tr>
<th>Jefferson County CDBG-DR</th>
<th>$ 7,847,084.00</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administration</td>
<td>$ 392,354.20</td>
</tr>
<tr>
<td>Un-programmed</td>
<td>1,388,729.80</td>
</tr>
<tr>
<td>Housing</td>
<td>525,000.00</td>
</tr>
<tr>
<td></td>
<td>or 7%</td>
</tr>
<tr>
<td>Debris Removal</td>
<td>130,294.13</td>
</tr>
<tr>
<td></td>
<td>or less than 1%</td>
</tr>
<tr>
<td>Demolition</td>
<td>164,705.87</td>
</tr>
<tr>
<td></td>
<td>or 2%</td>
</tr>
<tr>
<td>Public Facilities</td>
<td>5,000,000.00</td>
</tr>
<tr>
<td></td>
<td>or 31%</td>
</tr>
<tr>
<td>Infrastructure</td>
<td>166,000.00</td>
</tr>
<tr>
<td></td>
<td>or 2%</td>
</tr>
<tr>
<td>Home Purchase</td>
<td>$ 80,000.00</td>
</tr>
<tr>
<td></td>
<td>or 1%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$ 7,847,084.00</td>
</tr>
</tbody>
</table>

Note: Each of the items in the budget presented above is self explanatory with the exception of Housing. The Housing budget consists of housing rehabilitation, safe
rooms, down payment or closing cost assistance, relocation assistance and rebuilding assistance.

Safe rooms will only be considered for homeowners eligible for housing rehabilitation assistance.

The Jefferson County Community and Economic Development staff proposes to achieve reserving 50% of its CDBG-DR funds for low and moderate income beneficiaries by the method presented below:

1. One hundred percent ($70,000.00) of the beneficiaries receiving housing assistance will be at 80% of Median Household Income or below.

2. Forty two (2) percent ($5,000,000.00) of the CDBG funds for public facilities are estimated to benefit communities that are at 80% of Median Household Income.

3. Fifty percent ($1,388,729.80) of the unprogrammed funds will be used to benefit activities serving persons at 80% of Median Household Income.

The total estimated amount of CDBG-DR funds to benefit persons at 80% of Median Household Income or less is $3,877,454 or 52%.

III. CDBG-DR LEVERAGE

The Community and Economic Development Department plans to leverage its CDBG-DR funds with two (2) programs. First, the CDBG-DR funds will be leveraged with the FEMA Hazard Mitigation Grant funds for two (2) dual purpose community storm shelters. These public facilities include the North Smithfield and Concord dual purpose community storm shelters proposed to be constructed.

In addition, the Community and Economic Development department proposes to leverage its CDBG-DR funds with private funds received by the Central Alabama Long Term Recovery Committee (CALTRC) in the area of housing.

Should we receive housing assistance requests that exceed the amount of funds we have available, we will present the applicant’s unmet need for assistance to CALTRC.
IV. CONSTRUCTION METHODS

Owner Occupied Housing Rehabilitation

Each site will be inspected by a Senior Housing Rehabilitation Specialist to determine the scope of work needed to make the home comply with current International Code Council (ICC) codes, along with all governing Federal and State standards and guidelines. The inspection will cover areas of structural strength, means of egress, sanitation, adequate lighting and ventilation, accessibility, energy conservation, and life safety. In the event of conflict between agency or organization standards, the most stringent will be applied.

Homes that were built before 1978 will receive lead based paint (LBP) testing using a Niton XRF analyzer, Model XLP303A on surfaces that will be disturbed. If test results are positive a thorough risk hazard assessment will be performed. The senior housing rehabilitation staff at Jefferson County’s Office of Community and Economic Development are certified and accredited by the Alabama Department of Public Health as Designers, Risk Assessors, and Inspectors. Contractors that perform lead abatement through this office are required to be on the State’s list of “Certified Firms”. All contractors not performing abatement activities but working on pre 1978 homes are required to have training and certification in the EPA’s Renovation, Repair and Painting Rule (RRP).

After a thorough inspection of the property, the senior housing rehabilitation specialists will generate a work write-up incorporating the 2009 International Residential Code (IRC) to achieve the latest high quality standards for construction. Several municipalities’ inspection services have not adopted the 2009 IRC but inspections by the senior housing rehabilitation specialists will enforce the specifications of the write-up as it is incorporated in the contract for repairs.

In homes that require HVAC, windows, doors, lighting, or insulation replacement and upgrades, Energy Star® guidelines along with the ICC’s Energy Conservation Code (IECC) will be incorporated into each repair specification and write-up.

Indoor air quality is an important component of every home. Homes that are found to have mold or mildew will be addressed using repair recommendations that identify the sources of moisture, poor construction, inadequate ventilation, or other problems and correct them. The ICC’s Property Maintenance Code (IPMC) along with the EPA’s guidelines for Mold Remediation will be incorporated and high quality construction methods and materials such as mildew resistant drywall will be used.

Homes that require Safe Rooms will receive assistance in full compliance with ICC-500 when constructed as safe rooms within buildings or as an addition for the purpose of providing safe refuge from storms that produce high winds, such as tornados. In addition to other applicable requirements in this code, storm shelters and safe rooms shall be constructed in accordance to National Storm Shelter Association (NSSA) and FEMA 320.
and FEMA 360 guidelines. These are also built in accordance with ANSI A-117-09 and the 2010 ADAG guidelines for accessibility.

New Construction Homes and Buildings

Plans, drawings, and specifications for new homes, buildings, and community storm shelters must conform to 2009 ICC Building Codes (IBC) and 2009 IRC. Codes and standards referenced in housing rehabilitation such as Energy Star® guidelines along with the IECC, ANSI A-117.1-09 and the 2010 ADAG guidelines for accessibility, and the FEMA 361 guidelines for Community Storm Shelters will be incorporated.

For plans, designs, and specifications to meet approval, they must incorporate sound structural design, durable building materials, high wind resistant fastener systems, fire resistance, proper safety glass and glazing techniques for high wind regions, and high wind resistant roof systems for durability.

All construction work shall be subject to inspection by the governing jurisdiction’s inspection services department and Community Development’s senior housing rehabilitation specialist.

In addition to the construction requirements listed above, architects, engineers, etc. for design of infrastructure, public facilities and non-housing projects must include and pay particular attention that the projects will promote sound, sustainable long-term recovery by conducting a post-disaster evaluation of hazard risk with special emphasis on land use decisions that reflect responsible flood plain management. Also to be included during design is technical specifications that encourage construction methods that emphasize high quality, durability, energy efficiency, sustainability, and mold resistance. Prior to construction, assigned project managers will ensure that all Environmental requirements have been met, fully documented and recorded.
Homeless Prevention

In order to ensure that those individuals impacted by the storm do not become homeless the County will look to its HUD approved 2010-2015 Consolidated Plan and its current Action Plan to implement transitional housing for individuals who have been displaced by the storms.

**Housing and Services for Transition to Permanent Housing and Independent Living:** The County anticipates that it will support applications for funding during the duration of this CDBG-Disaster Recovery Grant by other entities. The County will particularly support those applications by other entities for programs to benefit the referenced homeless sub-populations. Housing and services for transition to permanent housing and independent living will continue to be provided to homeless and homeless subpopulations. Efforts will be made to increase the time that homeless individuals remain in permanent housing and to encourage more individuals to move to from transitional to permanent housing. Many organizations that provide these services are funded by various Action Plan Programs (CDBG and ESG for example).

**Assistance for Preventing Low-Income Individuals and Families with Children (especially those with incomes below 30 percent of median) from Becoming Homeless:** The County plans to provide assistance to low and very low income homeowners in order to rehabilitate structures classified as substandard. The program will provide affordable housing assistance to low and very low income households who otherwise could become homeless. The County anticipates that it will also support applications for funding during the duration of this CDBG-Disaster Recovery Grant by other entities that provide services to prevent low-income households from becoming homeless. Several organizations that provide these services are funded by various Action Plan Programs (CDBG and ESG for example).

**Assistance to address the special needs of persons who are not homeless identified in accordance with 24 CFR 91.215(e).** The County anticipates that it will support applications for funding during the duration of this CDBG-Disaster Recovery Grant by other entities to provide assistance to the County’s special needs population by increasing the availability of housing for the disabled (mental, physical, and developmental) and to increase the availability of housing for the low-income elderly and frail elderly, persons with alcohol or other drug addiction, persons with HIV/AIDS and their families, and public housing residents. Several organizations that provide these services are funded by various Action Plan Programs (CDBG and ESG for example).

**V. DISPLACEMENT**

Jefferson County will make every effort to minimize displacement of persons or entities and assist any persons or entities displaced in accordance with the Uniform Relocation Act and local policy. Jefferson County will assist those displaced through the following activities:
(a) **Housing Rehabilitation:**
Jefferson County will offer housing rehabilitation assistance to homeowners impacted by the storm that have needs not met by hazard insurance, FEMA payments, SBA or other sources. The completion of remaining repairs will allow them to stay in their homes rather than seek alternate housing.

(b) **Home Rebuilding:**
Homeowners whose homes were completely destroyed or damaged beyond repair will be offered assistance in rebuilding their home on their lot. For example, those that were underinsured may not have the ability to replace their home with insurance proceeds, FEMA payments, SBA or other funding available. Temporary relocation assistance may be provided for eligible persons residing in these homes and is based on funding availability.

(c) **Safe Rooms:**
Safe Rooms will be constructed in existing homes by reinforcing part of the structure to withstand high winds. Homeowners receiving housing rehabilitation assistance impacted by tornados that no longer feel safe in their homes will be offered assistance with construction of a safe room to allow them to stay in their home rather than relocate to another community.
VI. PROGRAM INCOME

Program income will be generated from loan repayments from home owners who had financing for new construction. The loans will be amortized for a term not to exceed 30 years and the proceeds will be treated as program income. The program income will be used for eligible CDBG-DR expenditures and upon completion of the CDBG-DR grant program income will be used for eligible CDBG expenditures. Program income will be utilized before drawing grant funds.

VII. ASSURING NO DUPLICATION OF BENEFITS

Jefferson County Community and Economic Development staff will attempt to gain access to CAN or the Coordinated Assistance Network system in order to receive information on resources prospective beneficiaries of the county’s CDBG-DR funds has received such as insurance, FEMA, SBA and other resources.

If access cannot be gain to the Can system, the Jefferson County Community and Economic Development staff will collaborate with the Alabama Rural Coalition for the Homeless (ARCH), who is one (1) of the Long Term Recovery organizations providing case management for victims of the April 27, 2011 tornado and has access to the CAN system.

Upon receipt of the ARCH (CoC) Disaster Case Management CAN-Release of Information (ROI) form, ARCH will provide Jefferson County Community and Economic Development staff payments prospective beneficiaries have received from insurance, FEMA, SBA and other sources of funds.

Having access to the resource mentioned above will allow staff to assure there is no duplication of benefits from persons receiving assistance from Jefferson County’s CDBG-DR program.

VIII. MONITORING

All those receiving housing assistance will be required to provide proof on income eligibility and where applicable, ownership of the property.

Jefferson County has an Auditor on staff that performs financial monitoring of all subrecipient contracts for financial compliance. The program staff also performs monitoring of all contracts to ensure that the program requirements are met. Should any issues arise during these monitoring, actions will be taken to resolve documentation error and if the issue is not able to be resolved, funding will be reduced accordingly.

Only expenditures that are eligible CDBG activities, address disaster-related needs directly related to the approved natural disaster, and meet at least one (1) of the national CDBG objectives will be funded. The Jefferson County staff will review all invoices with
the appropriate supporting documentation before issuing payments. Inspections will also be performed before final payments are released.

Jefferson County will take appropriate action in all instances of noncompliance, fraud, and mismanagement including, but not limited to, disallowing ineligible costs, terminating contracts/agreements, and requiring repayment of funds.

Any non-housing projects involving new construction or rehabilitation of public facilities/infrastructure must provide proof of insurance and ownership to the Jefferson County Office of Community & Economic Development. The owner must also provide proof of any assistance previously provided through private hazard insurance, FEMA payments, SBA assistance or other sources for documentation against unduplicated benefits.

**Quality Assurance and Investigation**

Jefferson County will monitor contractors and subrecipients on a regular basis to ensure quality assurance. Unannounced inspections and visits will be performed routinely. Any entity administering CDBG Disaster Recovery funding will be monitored not less than once during the contract period. The monitoring will address program compliance with contract provisions, including national objectives, financial management, and the requirements of 24 CFR Part 58. 13.

Jefferson County will initiate an investigation through an independent party if there is reasonable cause to believe that funds received through the CDBG Disaster Recovery Grant has been misappropriated or misused, or that other fraudulent or unlawful conduct has occurred in relation to the operation of the contractual award.

**Infrastructure/Public Facilities**

All infrastructure and public facilities under construction will be monitored no less than once per week by the staff of the Jefferson County Office of Community & Economic Development to ensure compliance with all federal, state, and local regulations to include applicable Davis-Bacon requirements.
IX. FRAUD PREVENTION

Jefferson County is committed to compliance with all applicable federal regulations including OMB Circulars A-87, A-133, and 24 CFR Part 85. Jefferson County will emphasize prevention of fraud, abuse and mismanagement related to accounting, procurement, and accountability which may also be investigated. Jefferson County staff will monitor compliance related to applicants and contractors. The HUD Field Office will also monitor Jefferson County to ensure compliance.

Jefferson County will engage an independent Certified Public Accountant firm to conduct an audit of the CDBG Disaster Recovery program under the “Single Audit” requirements of A-133. Any findings or concerns will be reported directly to the Jefferson County Commission President.
X. GRANT CAPACITY

The staff of Jefferson County Community and Economic Department has many years of experience managing HUD CDBG funds. More, specifically the staff has extensive experience in complying with the NEPA regulations, procurement of professional services, bidding and contracting, Davis Bacon, financial management, DRGR system, IDIS, and project closeout.

Should HUD offer specific training relating to implementing the CDBG-DR program, adequate staff will be in attendance.

XI. PERFORMANCE SCHEDULES

1) Housing

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<th>Description</th>
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<td>Year 2</td>
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<td>Year 3</td>
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2) Infrastructure/Public Facilities

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<tr>
<th>Year</th>
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<tbody>
<tr>
<td>Year 3</td>
<td>3 Infrastructure Projects Completed</td>
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<tr>
<td></td>
<td>4 Public Facilities Completed</td>
</tr>
<tr>
<td></td>
<td>21 Cases of Debris Removal 100% Completed</td>
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3) Administration

Jefferson County will use the maximum 5% of the award for administrative activities.

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<thead>
<tr>
<th>Year</th>
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<tbody>
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<tr>
<td>Year 2</td>
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</tr>
<tr>
<td>Year 3</td>
<td>$142,354.20</td>
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</tr>
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XIII. CITIZENS’ PARTICIPATION

Citizens’ Participation played an integral part in the development of this proposed CDBG-DR Action Plan as the Community & Economic Development staff held meetings in each affected area to determine the level of unmet needs still existing after all other resources had been exhausted.

The Final Amended Statement for the CDBG-DR Grant will be developed after receiving citizen comments and views during the 7-day comment period and also at a public hearing to be held on April 27, 2015 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-420, Birmingham, Alabama, 35203. The Public Hearing will notify interested persons of the proposed activities for CDBG-DR as contained in this CDBG-DR Action Plan.

Written comments regarding the proposed plan must be received prior to 5:00 PM on May 1, 2015. Written comments should be submitted to the following by U.S. Postal Service:

Proposed CDBG-Disaster Recovery  
Jefferson County Office of Community & Economic Development  
716 Richard Arrington Jr Blvd N, Ste. A-430  
Birmingham, AL 35203  
Attn: Yolanda Caver

or

Written comments can also be submitted prior to 5:00 PM on May 1, 2015 by electronic submission to the following:

To: burrellsy@jccal.org  
Subject: CDBG-DR Comments

Special accommodations can be made available, upon request, for those with disabilities. Those needing special accommodations should contact the office at 205-325-5761 in advance.

AVAILABILITY OF THE PROPOSED CDBG-DR ACTION PLAN

Copies of this plan are available for review at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North – Suite A430, Birmingham, AL 35203, by calling 325-5761, and online at http://jeffconline.jccal.org/comdev/home/Home.html in English and in Spanish. Arrangements can also be made available upon request to meet the Special Needs Requirements of those with disabilities and those who would like to request the proposed plan in other languages.

Any comments or views received during the 7-day comment period will be included prior to submittal to the U.S. Department of Housing and Urban Development. Jefferson County will also provide to HUD a written explanation of any comments not accepted along with a reason why those comments were not accepted.
Complaints

Jefferson County will accept complaints and criticisms on the CDBG-DR Action Plan, amendments and the Performance Report at any time. The County will respond to any written citizen complaint within 15 working days from the time of receipt. The procedure for responding to criticisms of the program will be handled as follows:

Complaints and grievances may be filed by any individual or group, a municipality, the County Commission, the Office of Community Development, the U.S. Department of Housing & Urban Development’s Area Office, and any agency of the Federal Government, any member of Congress, or the Office of the President. Regardless of the origin of the complaint, or the first point of filing, it shall be reduced to writing, then based upon origin, it will be returned to the local government having direct jurisdiction.

If the complaint is based on a matter involving the Jefferson County CDBG-DR Program or other Consolidated/Action Plan programs, the Office of Community Development, must be notified, and will coordinate the local review and possible resolution of the complaint or grievance. Upon notification, the Office will insure that a complete and accurate response is made to the complainant within fifteen (15) days to discuss the matter. The major responsibility of the Office is to determine the functional and legal origins of the complaint, to address the validity of each, and to verify the geographical origin.

Complaints arising in municipalities in the Consortium, and particularly those which involve direct concern with municipal policy or administration shall be initially handled at the municipal level, with the assistance of the Office of Community Development. Should the complaints not be resolved at that level, the matter shall be referred to the County Commission. A complete record of correspondence, meetings, and research information shall be forwarded to the Commission upon referral. It shall not be the policy of the Office of Community Development to deal with complaints without the knowledge and direct involvement of local elected officials having jurisdiction, but the Office will provide staff support and technical assistance during the local review in a city or in the County.

If, after review and investigation at each level, the complainant is not satisfied with the outcome or does not voluntarily withdraw the complaint, he or she may proceed to the next level or other forms of relief.

Internal Complaint Procedure

All complainants shall be directed to the office of the Director of Jefferson County’s Office of Community & Economic Development. The Director’s office will direct the complaint to the appropriate division or office. The appropriate office shall respond to the complaint and notification shall be sent to the Director’s Office that shall include a copy of the response.
Written complaints should be submitted to the following:

CDBG-DR Complaint
Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430
Birmingham, AL 35203
Attn: Frederick L. Hamilton

**Amendments to the CDBG-DR Action Plan**

As the program for CDBG-DR progresses Jefferson County may be required to make substantial changes to this CDBG-DR Action Plan. If any substantial changes are needed such as the addition or deletion of activities, changes in eligibility requirements, grant funding, or to shift money in excess of 25% of allocations, Jefferson County will prepare a Substanital Amendment to the CDBG-DR Action Plan and follow the required Citizens’ Participation process to provide for a reasonable public notice, review, and comment on the proposed changes prior to submittal to HUD.

**Summary of Comments**
To be added following the conclusion of the comment period.
Project #:Title: 01-12-Housing Rehabilitation/ Housing Rehabilitation

Grantee Activity Number: HR-B-12-1
Activity Title: 424 Panama Street
Activity Type: Housing Rehabilitation
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 12/31/2015
National Objective: LM Benefit
Total Budget: $70,000.00
Other Funds: $0.00
Total Funds: $70,000.00
Benefit Report Type: Direct (Households)
Proposed Beneficiaries: # of Owner Households - 1
Location Description: 424 Panama Street
Activity Description: Housing rehabilitation to repair damage caused by tornadoes. The maximum amount of assistance is $70,000.00 in the form of a grant. The household income eligibility for the beneficiary shall be 80% of Median Household Income.

Grantee Activity Number: HR-B-12-2
Activity Title: 516 Utica Street
Activity Type: Housing Rehabilitation
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 12/31/2015
National Objective: LM Benefit
Total Budget: $70,000.00
Other Funds: $0.00
Total Funds: $70,000.00
Benefit Report Type: Direct (Households)
Proposed Beneficiaries: # of Owner Households - 1
Location Description: 516 Utica Street
Activity Description: Housing rehabilitation to repair damage caused by tornadoes. The maximum amount of assistance is $69,500.00 in the form of a grant. The household income eligibility for the beneficiary shall be 80% of Median Household Income.
Project #:Title: 02-Clearance/Demolition/ Clearance/Demolition

Grantee Activity Number: CD-B-12_1
Activity Title: 1001 8th Street
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.70
Other Funds: $0.00
Total Funds: $11,764.70.00
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 1001 8th Street
Activity Description: Clearance and demolition of structures damaged by tornadoes.

Grantee Activity Number: CD-B-12_2
Activity Title: 1025 9th Street
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 1025 9th Street
Activity Description: Clearance and demolition of structures damaged by tornadoes.
Grantee Activity Number: CD-B-12_3
Activity Title: 1215 11th Street
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 1215 11th Street
Activity Description: Clearance and demolition of structures damaged by tornadoes.

Grantee Activity Number: CD-B-12_4
Activity Title: 1307 13th Court
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.70
Other Funds: $0.00
Total Funds: $11,764.70
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 1307 13th Court
Activity Description: Clearance and demolition of structures damaged by tornadoes.
Grantee Activity Number: CD-B-12_5
Activity Title: 1429 14th Street
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 1429 14th Street
Activity Description: Clearance and demolition of structures damaged by tornadoes.

Grantee Activity Number: CD-B-12_6
Activity Title: 424 5th Court
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.70
Other Funds: $0.00
Total Funds: $11,764.70
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 424 5th Court
Activity Description: Clearance and demolition of structures damaged by tornadoes.
Grantee Activity Number: CD-B-12_7
Activity Title: 620 9th Avenue
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.70
Other Funds: $0.00
Total Funds: $11,764.70
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 620 9th Avenue
Activity Description: Clearance and demolition of structures damaged by tornadoes.

Grantee Activity Number: CD-B-12_8
Activity Title: 725 4th Place
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 725 4th Place
Activity Description: Clearance and demolition of structures damaged by tornadoes.
Grantee Activity Number: CD-B-12_9
Activity Title: 804 5th Street
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.70
Other Funds: $0.00
Total Funds: $11,764.70
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 804 5th Street
Activity Description: Clearance and demolition of structures damaged by tornadoes.

Grantee Activity Number: CD-B-12_10
Activity Title: 836 11th Avenue
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 836 11th Avenue
Activity Description: Clearance and demolition of structures damaged by tornadoes.
Grantee Activity Number: CD-B-12_11
Activity Title: 904 12th Avenue
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 904 12th Avenue
Activity Description: Clearance and demolition of structures damaged by tornadoes.

Grantee Activity Number: CD-B-12_12
Activity Title: 916 11th Terrace
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 916 11th Terrace
Activity Description: Clearance and demolition of structures damaged by tornadoes.
Grantee Activity Number: CD-B-12_13
Activity Title: 917 12th Avenue
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.70
Other Funds: $0.00
Total Funds: $11,764.70
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 917 12th Avenue
Activity Description: Clearance and demolition of structures damaged by tornadoes.

Grantee Activity Number: CD-B-12_14
Activity Title: 928 5th Way
Activity Type: Clearance/Demolition
Activity Status: Planned
Projected Start Date: 10/01/2013
Projected End Date: 09/30/2015
National Objective: Urgent Need
Total Budget: $11,764.70
Other Funds: $0.00
Total Funds: $11,764.70
Benefit Report Type: N/A
Proposed Beneficiaries: # of Housing Units - 1
Location Description: 928 5th Way
Activity Description: Clearance and demolition of structures damaged by tornadoes.
Project #/Title: 03-Direct Homeownership/ Direct Homeownership

Grantee Activity Number: DHO-B-12_1
Activity Title: 1055 10th Street, Pleasant Grove, AL
Activity Type: Direct Homeownership
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 12/31/2015
National Objective: LM Benefit
Total Budget: $80,000.00
Other Funds: $0.00
Total Funds: $80,000.00
Benefit Report Type: Direct (Households)
Proposed Beneficiaries: # of Owner Households - 1
Location Description: 1055 10th Street, Pleasant Grove, AL
Activity Description: Homeowners will be eligible for a loan with an interest rate of 75% of prime with a loan term up to 30 years.

Project #/Title: 04-Public Facilities/ Public Facilities

Grantee Activity Number: PF-B-12_1
Activity Title: North Smithfield Storm Shelter #2
Activity Type: Public Facility
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 12/31/2016
National Objective: LM Benefit
Total Budget: $1,000,000.00
Other Funds: $0.00
Total Funds: $1,000,000.00
Benefit Report Type: N/A
Proposed Beneficiaries: # of Public Facilities - 1
Location Description: 2201 North Smithfield Manor Lane in unincorporated Jefferson County, Alabama 35207.
Activity Description: Activity will provide a safe facility during major storm events. Construction of a public facility that will be approximately 2,000 square feet.
Grantee Activity Number: PF-B-12_2
Activity Title: Warrior Storm Shelter #2
Activity Type: Public Facility
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 12/31/2016
National Objective: LM Benefit
Total Budget: $1,000,000.00
Other Funds: $0.00
Total Funds: $1,000,000.00
Benefit Report Type: N/A
Proposed Beneficiaries: # of Public Facilities - 1
Location Description: 600 Highway 31, Warrior, AL 35180
Activity Description: Activity will provide a safe facility during major storm events. Construction of a public facility that will be approximately 2,000 square feet.

Grantee Activity Number: PF-B-12_3
Activity Title: McDonald Chapel Storm Shelter
Activity Type: Public Facility
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 12/31/2016
National Objective: LM Benefit
Total Budget: $1,000,000.00
Other Funds: $0.00
Total Funds: $1,000,000.00
Benefit Report Type: N/A
Proposed Beneficiaries: # of Public Facilities - 1
Location Description: 5729 Holland Avenue, Birmingham, AL 35224
Activity Description: Activity will provide a safe facility during major storm events. Construction of a public facility that will be approximately 2,000 square feet.
Grantee Activity Number: PF-B-12_4
Activity Title: Fultondale Storm Shelter
Activity Type: Public Facility
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 12/31/2016
National Objective: LM Benefit
Total Budget: $1,000,000.00
Other Funds: $0.00
Total Funds: $1,000,000.00
Benefit Report Type: N/A
Proposed Beneficiaries: # of Public Facilities - 1
Location Description: 409 Main Street, Fultondale, AL 35068
Activity Description: Activity will provide a safe facility during major storm events. Construction of a public facility that will be approximately 2,000 square feet.

Grantee Activity Number: PF-B-12_5
Activity Title: Pleasant Grove Storm Shelter
Activity Type: Public Facility
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 12/31/2016
National Objective: LM Benefit
Total Budget: $1,000,000.00
Other Funds: $0.00
Total Funds: $1,000,000.00
Benefit Report Type: N/A
Proposed Beneficiaries: # of Public Facilities - 1
Location Description: Pleasant Grove, AL.
Activity Description: Activity will provide a safe facility during major storm events. Construction of a public facility that will be approximately 2,200 square feet.
Grantee Activity Number: I-B-12 _1
Activity Title: Concord Gay Road
Activity Type: Infrastructure Design
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 1/31/2016
National Objective: LM Benefit
Total Budget: $55,333.00
Other Funds: $0.00
Total Funds: $55,333.00
Benefit Report Type: N/A
Proposed Beneficiaries:
Location Description: Gay Road in the Concord community of unincorporated Jefferson County, Alabama.
Activity Description: Design only for drainage improvements along the Gay Road area.

Grantee Activity Number: I-B-12 _2
Activity Title: Concord Highland Drive
Activity Type: Infrastructure Design
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 1/31/2016
National Objective: LM Benefit
Total Budget: $55,334.00
Other Funds: $0.00
Total Funds: $55,334.00
Benefit Report Type: N/A
Proposed Beneficiaries:
Location Description: Highland Drive in the Concord community of unincorporated Jefferson County, Alabama.
Activity Description: Design only for drainage improvements along the Highland Drive area.
Grantee Activity Number: I-B-12_3
Activity Title: Concord Smith Road
Activity Type: Infrastructure Design
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 1/31/2016
National Objective: LM Benefit
Total Budget: $55,333.00
Other Funds: $0.00
Total Funds: $55,333.00
Benefit Report Type: N/A
Proposed Beneficiaries:
Location Description: Smith Road in the Concord community of unincorporated Jefferson County, Alabama.
Activity Description: Design only for drainage improvements along the Smith Road area.

Project#/Title: 06-Debris Removal/Debris Removal

Grantee Activity Number: DR-B-12_1
Activity Title: 464 7th Avenue
Activity Type: Debris Removal
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 1/31/2016
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Properties - 1
Location Description: 464 7th Avenue, Pleasant Grove, Alabama
Activity Description: The removal of debris caused by tornadoes.
Grantee Activity Number: DR-B-12_2
Activity Title: 713 7th Avenue
Activity Type: Debris Removal
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 1/31/2016
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Properties - 1
Location Description: 713 7th Avenue, Pleasant Grove, Alabama.
Activity Description: The removal of debris caused by tornadoes.

Grantee Activity Number: DR-B-12_3
Activity Title: 740 7th Place
Activity Type: Debris Removal
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 1/31/2016
National Objective: Urgent Need
Total Budget: $11,764.71
Other Funds: $0.00
Total Funds: $11,764.71
Benefit Report Type: N/A
Proposed Beneficiaries: # of Properties - 1
Location Description: 740 7th Place, Pleasant Grove, Alabama
Activity Description: The removal of debris caused by tornadoes.
Grantee Activity Number: DR-B-12_4
Activity Title: Pleasant Grove Debris Removal Scattered Site
Activity Type: Debris Removal
Activity Status: Planned
Projected Start Date: 01/19/2014
Projected End Date: 1/31/2016
National Objective: Urgent Need
Total Budget: $95,000.00
Other Funds: $0.00
Total Funds: $95,000.00
Benefit Report Type: N/A
Proposed Beneficiaries: # of Properties - 1
Location Description: areas along 4th Street, 3rd Way, 5th Court, 7th Avenue, 4th Way, 5th Street, 9th Avenue, 8th Avenue, 7th Avenue, 10th Avenue, 11th Court, 8th Place, 10th Plaza, 10th Terrace, 11th Terrace, 12th Street, 14th Street in Pleasant Grove, Alabama.
Activity Description: The removal of debris caused by tornadoes.
IX. CERTIFICATIONS